

Disclosure Notice

My-Fin 1237 Financial Services (Pty) Ltd is an authorized financial services provider licensed in terms of the Financial Advisory and Intermediary Services (FAIS) Act (no 37, 2002).

Full Trading Name	My-Fin 1237 Financial Services (Pty) Ltd			
Registration Number	2012/205235/07			
FAIS License Number	FSP:44462			
FAIS license Categories	Advice	Intermediary Services	Adviser: Accredited	Adviser: Under Supervision
Long Term Insurance: Category A	✓	✓	✓	
Long-Term Insurance: Category B1	✓	✓	✓	
Long-Term Insurance: Category B1 - A	✓	✓	✓	
Long-Term Insurance: Category B2	✓	✓	✓	
Long-Term Insurance: Category B2 - A	✓	✓	✓	
Long Term Insurance: Category C	✓	✓	✓	
Short Term Insurance: Personal lines	✓	✓	✓	
Short Term Insurance: Personal Lines A1	✓	✓	✓	
Short Term Insurance: Commercial lines	✓	✓	✓	
Retail Pension Benefits	✓	✓	✓	
Pension Fund Benefits	✓	✓	✓	
Participatory interests in Collective Investment Schemes	✓	✓	✓	
Health Service Benefits	✓	✓	✓	
Business Address	No 1 Waterhouse Building, Upper Ground, Block 3, Waterford Place, Century City, Cape Town, 7441			
Postal Address	Postnet Suite 2008, Private Bag x2, Century City, Cape Town, 7446			
Telephone	T: 021 741 1332	Facsimile: 086 457 6642	Website: www.myfin.co.za	
Compliance Officer & Contact Details	John Horsfall	M: 083 327 9161 T: 021 976 9734 E: john@associatedcompliance.co.za		
Key Individual Details:	Mike Eslick – Managing Director	E: mike@my-fin.co.za M: 074 247 7949		

Financial Advisor Details:

Full Name	Mike Eslick	M: 074 247 7949
Email Address	mike@my-fin.co.za	
Qualifications	Matric Gr 12, NQF 5 Wealth Management	Experience: 1984 - 2021
Legal Capacity	Wealth Management Consultant	
Certificate Validity	1 January 2021 – 31 December 2021	

Mike Eslick is a registered representative of My-Fin and is authorized to render financial services (advice and intermediary services) in respect of the above financial subcategories.

My-Fin accepts responsibility for the financial services rendered by Mike Eslick within the scope of his employment.

My-Fin confirms that Mike Eslick is competent to render financial services and satisfies the requirements as determined by the FAIS Act and subordinate legislation

Details of Product Providers we are mandated to market are available on our website www.myfin.co.za.

Remuneration and Shareholding:

In terms of shareholding, My-Fin does not have a shareholding of more than 10% in any company nor has it earned more than than 30% of its total remuneration from any single product provider. Any fees payable for additional services provided by My-Fin to you will be negotiated and agreed prior to providing such services and these fees will be payable by you.

Conflict of Interests:

My-Fin will endeavor to avoid conflicts of interest however we acknowledge that conflicts of interest may arise. My-Fin does receive business invitations from time to time. We undertake to ensure that we always manage conflicts of interest in the best interests of our clients and will disclose any potential or actual conflict of interest to you together with details on how we propose to manage the conflict of interest. My-Fin does have a Conflict-of-Interest Management Policy.

Professional Indemnity:

Rockfin accepts responsibility for those activities of the representative performed within the scope of their employment in terms of their service agreement. My-Fin holds Professional Indemnity insurance cover.

Complaints:

If you have a complaint about our service, staff or products sold to you, please contact the following person in writing with full details of the problem you have encountered:

Name: Karla van der Lingen	Address: Upper Ground, Block 3, No 1 Waterhouse, 4 Waterhouse Place, Century City, Cape Town, 7441	E-mail: karla@rockfin.co.za
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Below the Ombudsman's contact details:

Short Term Ombudsman	Long Term Ombudsman	FAIS Ombudsman	Financial Sector Conduct Authority
T: 011 726 8900	T: 021 657 5000 / 021 674 0951	T: 012 762 5000 / 012 470 9080	T: 080 020 3722
E: info@osti.co.za	E: info@ombud.co.za	E: info@faisombud.co.za	E: info@fsc.co.za
A: 1 Sturdee Avenue, First Floor, Block A, Rosebank, Johannesburg, 2196	A: Third Floor, Sunclare Building 21 Dreyer Street, Claremont, Cape Town, 7700	A: Kasteel Park Office Park, Orange Building, 2 nd Floor, 546 Jochemus Street, Erasmus Kloof, Pretoria, 0048	A: 41 Matroosberg Road, Ashlea Gardens, Pretoria, 0002
W: www.osti.co.za	W: www.ombud.co.za	W: www.faisombud.co.za	W: www.fsc.co.za

If you have submitted a complaint to us and you are dissatisfied with the outcome of your complaint, you may refer your complaint to the FAIS Ombud but must do so within 6 months following receipt of the outcome of your complaint. Our complaints procedures are available on our website and may also be requested from our offices.

Data Collection and the Processing of your Personal Information:

In the performance of our duties as your broker, My-Fin will be required to collect and process your personal information. This will include sharing your personal information with third parties including but not limited to insurers and medical schemes. We may be required to retain your personal information in fulfilment of our contractual obligations to you and as determined in law. My-Fin will only use your personal information for the purpose for which it was provided.

Please note that our privacy policy, terms and conditions and disclosure notice are available on our website www.myfin.co.za Should you require any additional information, kindly submit your request to info@my-fin.co.za.